

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1999-021

Total Pages

32

First Named Inventor or Application Identifier

Tzu-Chieh Chang et al.

Express Mail Label No.

EL363145322US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 202311. ☒ Fee Transmittal Form

(submit an original, and a duplicate for fee processing)

2. ☒ Specification [Total Pages 17]

(preferred arrangement set forth below)

☒ Descriptive title of invention☒ Cross References to Related Applications☒ Statement Regarding Fed sponsored R&D☒ Reference to Microfiche Appendix☒ Background of the Invention☒ Brief Summary of the Invention☒ Brief Description of the Drawings(if filed)☒ Detailed Description☒ Claim(s)☒ Abstract of the Disclosure3. ☒ Drawing(s)(35 USC 113) [Total Sheets 11]4. Oath or Declaration [Total Pages 4] *UN SIGNED*a. ☐ Newly executed (original or copy)b. ☐ Copy from a prior application(37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
163(d)(2) and 1.33(b)5. ☐ Incorporation by reference(useable if Box 4b is checked)The entire disclosure of the prior application, from which a copy of the oath
or declaration is supplied under Box 4b, is considered as being part of the
disclosure of the accompanying application and is hereby incorporated by
reference herein.6. ☐ Microfiche Computer Program (Appendix)7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy(identical to computer copy)c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers(cover sheet & document(s))9. ☐ 37 CFR 3.73(b)Statement ☐ Power of Attorney10. ☐ English Translation Document (if applicable)11. ☒ Information Disclosure ☒ Copies of IDS

Statement(IDS)/PTO-1449 Citations

12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)

(Should be specifically itemized)

14. ☐ Small Entity ☐ Statement filed in prior application,
Statement(s) Status still proper and desired15. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Other :

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior Application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME Samuel H. Dworesky

ADDRESS AT&T CORP. P.O. Box 4110

CITY Middletown

STATE

New Jersey

ZIP CODE

07748-4110

COUNTRY United States of America

FAX

732-368-6932

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME Benjamin S. Lee

Reg. #

42787

TELEPHONE 973-360-8117

SIGNATURE *[Signature]*

DATE

Dec. 30, 1999

"Express Mail" Mailing Label Number EL363145322US

Date of Deposit

12/30/99

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. , 20231

Ann E. Taylor
(Printed Name of Person Mailing Paper)*[Signature]*
(Signature of Person Mailing Paper)

FEE TRANSMITTAL

Patent Fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity Statement, otherwise, large entity fees must be paid. See Forms PTO/SB/09-12.

Complete if known

Application Number	
Filing Date	
First Named Inventor	Tzu-Chieh Chang et al.
Examiner Name	
Group/Art Unit	
Attorney Docket No.	1999-0212

TOTAL AMOUNT OF PAYMENT	\$760.00
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METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

2. ☐ Payment Enclosed

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	760	Utility Filing Fee	\$760.00
106	310	Design Filing Fee	
107	480	Plant Filing Fee	
108	760	Reissue Filing Fee	
114	150	Provisional Filing Fee	

SUBTOTAL (1) \$760.00

2. CLAIMS☐ New Filing ☐ Amendment

Total	Ind.	Multiple Dependent Claims	Extra Claims	Fee from below	Fee Paid
20	- 20 =	0	x	18	\$0.00
3	- 3 =	0	x	78	\$0.00
		0			\$0.00

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent Claims in excess of 3
104	260	Multiple Dependent Claims
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$0.00

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	Requesting publication of SIR after to Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1360	Extension for reply within fourth month	
128	1850	Extension for reply within fifth month	
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1210	Petition to revive - unintentional	
142	1210	Utility issue fee (or reissue)	
143	430	Design issue fee	
144	580	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	760	Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			
* Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			

SUBMITTED BY

Typed or Printed Name Benjamin S. Lee

Complete (if applicable)

Reg. Number 42787

Signature

Date

12/30/99

Deposit Account User ID

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

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 09/476463
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